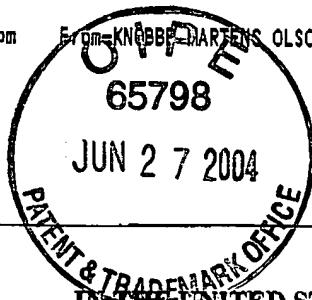


Jun-27-2004 09:16pm

From: KNOBBE MARTENS OLSON BEAR

949 7609502

T-610 P.002 F-520



PATENT

Attorney Docket No. ISTOP.007A

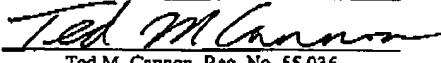
Date: June 25, 2004

Applicant : Jean Kodama et al.  
Appl. No. : 10/781,341  
Filed : February 18, 2004  
For : REMOTE DIRECT  
MEMORY ACCESS FOR  
iSCSI  
Examiner : Unknown  
Group Art Unit : 2661

CERTIFICATE OF FAX TRANSMISSION

I hereby certify that this correspondence and all  
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facsimile to the USPTO Central Fax No.  
(703) 872-9306 on the date shown below:

June 25, 2004

  
Ted M. Cannon, Reg. No. 55,036

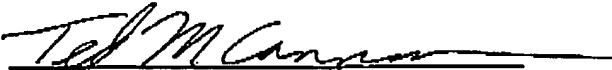
TRANSMITTAL LETTER

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Applicant hereby submits for filing in the above-identified application:

- A Request for Corrected Filing Receipt in one (1) page.
- A copy of a Filing Receipt with changes in two (2) pages.
- A copy of a February 18, 2004 Transmittal in one (1) pages.
- The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.

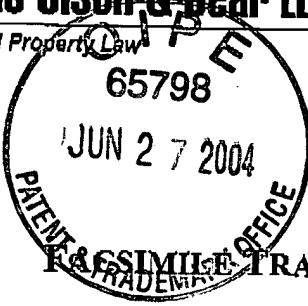
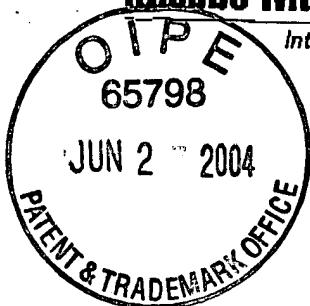


Ted M. Cannon  
Registration No. 55,036  
Attorney of Record  
Customer No. 20,995

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062404

**Knobbe Martens Olson & Bear LLP**

Intellectual Property Law



2040 Main Street  
Fourteenth Floor  
Irvine, CA 92614  
Tel 949-760-0404  
Fax 949-760-9502  
[www.kmob.com](http://www.kmob.com)

**FACSIMILE TRANSMITTAL SHEET****CONFIRMATION COPY WILL FOLLOW VIA:**

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|--|---|
| <input type="checkbox"/> MAIL                  | <input checked="" type="checkbox"/> WILL NOT FOLLOW |
| <input type="checkbox"/> INTERNATIONAL AIRMAIL | <input type="checkbox"/> HAND DELIVERY              |
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| <input type="checkbox"/> E-MAIL                | <input type="checkbox"/> WITHOUT ENCLOSURES         |

**Confidentiality Notice:**

The documents accompanying this facsimile transmission contain confidential information which may be legally privileged. The information is intended only for the use of the recipient named below. If you have received this facsimile in error, please immediately notify us by telephone to arrange for return of the original documents to us; and any disclosure, copying, distribution or the taking of any action in reliance on the contents of this faxed information is strictly prohibited.

TO: United States Patent and Trademark Office / Roxanne Rawls  
 FACSIMILE No.: (703) ~~202-9000~~ 746-6599  
 OUR REF.: ISTOP.007A  
 YOUR REF.: Application No. 10/781,341  
 FROM: Ted M. Cannon  
 OPERATOR: Joni Kiewel Ted Cannon NO. OF PAGES: X 9 (incl. cover sheet)  
 DATE: June 25, 2004 June 28, 2004 TIME:

**IF YOU DID NOT RECEIVE ALL OF THE PAGES PLEASE CALL BACK IMMEDIATELY**  
 OPERATOR PHONE NO.: (949) 760-0404 FACSIMILE NO.: (949) 760-9502

MESSAGE: Call my direct line (949) 721-2897 with  
 any questions you have.  
HADOCS\TMCTMC-1933.DOC  
062504

Roxanne,

Thanks for your help. I have also sent you a Notice to File Missing Parts that indicates that we need to submit new drawings to avoid abandonment. Because this application will not be published, however, I do not believe this is required. Can we have an updated Notice to File Missing Parts with the marked portion recorded? Thanks, Ted M. Cannon

San Diego

San Francisco

Los Angeles

Riverside

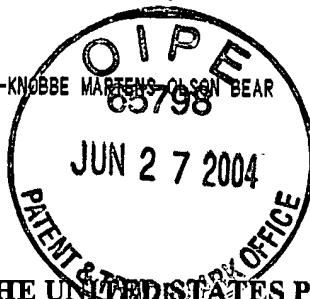
San Jose, Chicago

Jun-27-2004 09:16pm

From-KNOBBE MARENDS OLSEN BEAR

949 7609502

T-610 P.003/009 F-520



ISTOR.007A

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jean Kodama et al.  
Appl. No. : 10/781,341  
Filed : February 18, 2004  
For : REMOTE DIRECT MEMORY  
ACCESS FOR iSCSI  
Examiner : Unknown  
Group Art Unit : 2661

**REQUEST FOR CORRECTED FILING RECEIPT  
TO SHOW NONPUBLICATION REQUEST**

Commissioner for Patents  
P.O. Box 1450  
Office of Initial Patent Examination  
Customer Service Center  
Alexandria, Virginia 22313-1450

Dear Sir:

Applicant hereby requests that the official *Filing Receipt* be corrected as shown on the enclosed marked-up copy to indicate that a Request for Nonpublication was made on February 18, 2004, when this application was originally filed. Applicant also requests that the official records for this application also be corrected to show that nonpublication was requested by Applicant.

Applicant is enclosing a copy of the transmittal form filed on February 18, 2004, which includes a *Request And Certification Under 35 U.S.C. § 122(B)(2)(B)(i.)*. However, the original *Filing Receipt* incorrectly shows that a Nonpublication Request was not received. Furthermore, the original *Filing Receipt* indicates a Projected Publication Date to be determined – pending completion of Missing Parts.

Jun-27-2004 09:16pm From-KNOBBE MARTENS OLSON BEAR 949 7609502 T-610 P.004/009 F-520

Appl. No. : 10/781,341  
Filed : February 18, 2004

If any questions arise with regard to this request for a corrected filing receipt, please call the undersigned attorney of record at 949-721-2897 (direct).

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 6/25/2004

By: Ted M Cannon  
Ted M. Cannon  
Registration No. 55,036  
Attorney of Record  
Customer No. 20,995  
(949) 760-0404

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062404

WBG/LRS/PD/TH

**COPY**UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPL NO.	FILING OR 371 (C) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/781,341	02/18/2004	2661	0.00	ISTOR.007A	12	24	3

20995  
 KNOBBE MARTENS OLSON & BEAR LLP  
 2040 MAIN STREET  
 FOURTEENTH FLOOR  
 IRVINE, CA 92614

**CONFIRMATION NO. 9239****FILING RECEIPT**

\*OC000000012689686\*

Date Mailed: 05/18/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Jean Kodama, Residence Not Provided;  
 Michael Morrison, Residence Not Provided;

**Domestic Priority data as claimed by applicant**

This appln claims benefit of 60/469,556 02/18/2003

**Foreign Applications****If Required, Foreign Filing License Granted: 05/17/2004****Projected Publication Date: To Be Determined pending completion of Missing Parts****Non-Publication Request: No** **Early Publication Request: No****Title**

Remote direct memory access for iSCSI

**Preliminary Class**

370

**COPY**

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING OR 371 (e) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/781,341	02/18/2004	Jean Kodama	ISTOR.007A

20995  
 KNOBBE MARTENS OLSON & BEAR LLP  
 2040 MAIN STREET  
 FOURTEENTH FLOOR  
 IRVINE, CA 92614

**CONFIRMATION NO. 9239**  
**FORMALITIES LETTER**

\*OC000000012689687\*

Date Mailed: 05/18/2004

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

*Filing Date Granted*

**Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawings must be made on paper that has a white background (see 37 CFR 1.84 (e)). For example, drawings on graph paper, lined paper, or paper that has a non-white background are not acceptable. See Figure(s) 1,2,3,4, & 5a-c.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$72 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$972 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$72
  - \$72 for 4 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE